



University of Nebraska at Omaha  
**DigitalCommons@UNO**

---

Mathematics Faculty Publications

Department of Mathematics

---

1998

## The Eigenfunctions of a Certain Composition Operator

Valentin Matache

*University of Nebraska at Omaha, [vmatache@unomaha.edu](mailto:vmatache@unomaha.edu)*

Follow this and additional works at: <https://digitalcommons.unomaha.edu/mathfacpub>

 Part of the [Mathematics Commons](#)

---

### Recommended Citation

Matache, Valentin The eigenfunctions of a certain composition operator. Studies on composition operators (Laramie, WY, 1996), 121–136, Contemp. Math., 213, Amer. Math. Soc., Providence, RI, 1998.

This Article is brought to you for free and open access by the Department of Mathematics at DigitalCommons@UNO. It has been accepted for inclusion in Mathematics Faculty Publications by an authorized administrator of DigitalCommons@UNO. For more information, please contact [unodigitalcommons@unomaha.edu](mailto:unodigitalcommons@unomaha.edu).



# THE EIGENFUNCTIONS OF A CERTAIN COMPOSITION OPERATOR

VALENTIN MATACHE

ABSTRACT. The composition operator on the classical Hardy space  $H^2$ , induced by a hyperbolic disk automorphism is considered. It is investigated when a  $H^2$ -function induces under the given operator a minimal invariant cyclic subspace. Theorems where we use the behaviour of this function in the neighbourhood of the fixed points of the hyperbolic automorphism in order to decide if the cyclic subspace mentioned above is minimal invariant or not, are obtained. The inner eigenfunctions of the operator under consideration are characterized.

## 1. Introduction

We denote by  $H^2$  the classical Hardy space of all functions analytic on the open unit disk  $\mathbb{D}$ , having square summable Taylor coefficients.  $\phi$  is the hyperbolic Möbius transform

$$(1) \quad \phi(z) = \frac{2z+1}{z+2} \quad z \in \mathbb{D}$$

having fixed points 1 and  $-1$ .  $C_\phi$  is the composition operator induced by  $\phi$  on  $H^2$ .  $\text{Lat}C_\phi$  denotes the invariant subspace lattice of  $C_\phi$ . Subspace means always closed, linear manifold.  $\mathcal{M} \in \text{Lat}C_\phi$  is minimal invariant for  $C_\phi$  if  $\mathcal{M} \neq 0$  and there is no nonzero  $\mathcal{N} \in \text{Lat}C_\phi$ , such that  $\mathcal{N} \subseteq \mathcal{M}$ , and  $\mathcal{N} \neq \mathcal{M}$ . It is important to see if all the minimal invariant subspaces of  $C_\phi$  are 1- dimensional. Clearly, any minimal invariant subspace of  $C_\phi$  is cyclic. In [8], this author's main idea was to choose some arbitrary  $u \in H^2$ , consider the cyclic subspace in  $\text{Lat}C_\phi$  spanned by  $u$ ,  $\mathcal{K}_u = \vee_{n=0}^\infty C_\phi^n u$  (that is the closure of the linear manifold spanned by the functions  $\{u, u \circ \phi, u \circ \phi^{(2)}, \dots, u \circ \phi^{(n)}, \dots\}$ ), and deduce by the properties of  $u$  if  $\mathcal{K}_u$  is minimal invariant or not. By  $\phi^{(n)}$  we mean  $\phi \circ \phi \circ \dots \circ \phi$ ,  $n$  times, for each positive integer  $n$ . Clearly  $\mathcal{K}_u$  is minimal invariant and finite dimensional if and only if  $u$  is an eigenfunction of  $C_\phi$ . Related to those  $u \in H^2$  such that  $\mathcal{K}_u$  is minimal invariant but  $\dim \mathcal{K}_u > 1$  we wish to point out that this means  $\dim \mathcal{K}_u$  is infinite. Indeed, if  $\dim \mathcal{K}_u$  is finite, then the restriction  $C_\phi|_{\mathcal{K}_u}$  has nonempty point spectrum. Consequently we can choose  $f$  an eigenfunction in  $\mathcal{K}_u$ , denote by  $\mathcal{N}$  the one dimensional subspace spanned by  $f$  and observe that  $\mathcal{N} \subseteq \mathcal{K}_u$ ,

---

1991 *Mathematics Subject Classification*. Primary 47A15, 47B38; Secondary 47A10.

This work will be contained by the author's Ph.D. dissertation under the direction of Professor Norberto Salinas.

$\mathcal{N} \neq 0$ , and  $\mathcal{N} \in \text{Lat}C_\phi$ . If  $\dim \mathcal{K}_u > 1$ , then  $\mathcal{K}_u$  is not minimal invariant. On the other hand, finding  $\mathcal{K}_u$  minimal invariant with infinite dimension is no easy job because, if such  $\mathcal{K}_u$  existed then  $T = C_\phi|_{\mathcal{K}_u}$  would be an example of a Hilbert space operator, acting on an infinitely-dimensional, complex space with trivial invariant subspace lattice. It is unknown if such an operator exists. This problem is called the invariant subspace problem and stays open for some decades now. The authors of [12] observed that a Hilbert space operator (acting on a complex, separable space of dimension bigger than 1), without proper invariant subspaces exists if and only if  $\text{Lat}C_\phi$  contains an infinitely-dimensional minimal invariant subspace. Since finding such a  $\mathcal{K}_u$  is not an easy job, our idea in [8], was to discard as many  $u$  as possible. Theorem 2 in [8] is a result in this direction. it states that

**Theorem 1.1** *If  $\alpha$  is 1 or  $-1$ ,  $u(e^{i\theta})$  is the radial limit function of  $u \in H^2$ , and if we can assign a nonzero value to  $u$  at  $\alpha$ ,  $u(\alpha) \neq 0$ , such that the extension of  $u(e^{i\theta})$  we get in this manner is continuous, then  $\mathcal{K}_u$  is nonminimal unless  $u$  is constant.*

To see in which way we can discard functions by using the previous result we give the following

**Example 1.2** *Suppose  $(z_n)_n$  is a sequence in  $\mathbb{D}$ , such that  $\sum_{n=1}^{\infty} (1 - |z_n|) < \infty$  and 1 or  $-1$  is not an accumulation point of the set  $\{z_n : n \geq 1\}$ . Denote by  $B$  the Blaschke product having zeros  $(z_n)_n$ .  $\mathcal{K}_B$  is not minimal invariant.*

*Proof.* Indeed, since  $(z_n)_n$  do not cluster to 1 (or  $-1$ ),  $B$  is continuously extendable at this point (see [6]), and  $B(1) \neq 0$  (respectively  $B(-1) \neq 0$ ) because  $|B(e^{i\theta})| = 1$  a.e. on the unit circle. By Theorem 1.1  $\mathcal{K}_B$  is nonminimal because  $B$  is not constant.  $\square$

Our first concern in this paper will be obtaining an improved version of Theorem 1.1. Before doing that we would like to recall for later reference a theorem obtained by this author in [8].

**Theorem 1.3** *If  $u$  is an inner function and  $\mathcal{K}_u$  is minimal invariant, then the greatest common inner divisor of the following family of inner functions  $\{u \circ \phi^{(n)} : n \geq k\}$ , must be an eigenfunction of  $C_\phi$ , for each fixed integer  $k$ .*

Recently, V. Chkliar answered in [2] a question raised by this author in [8] asking if you can remove the restriction  $u(\alpha) \neq 0$  in Theorem 1.1. His result is as follows.

**Theorem 1.4** *If  $u \in H^2$ ,  $u \neq 0$  satisfies the following conditions,*

$$(a) \quad \overline{\lim}_{z \rightarrow -1} |u(z)| < \infty$$

$$(b) \quad |u(z)| \leq c|1 - z|^\varepsilon \quad \text{for some } c, \varepsilon > 0 \quad \text{and each } z \text{ in a neighbourhood of } 1$$

*then  $\sum_{n=-\infty}^{\infty} \lambda^n (u \circ \phi^{(n)})$  is an eigenfunction of  $C_\phi$  associated to the eigenvalue  $\lambda^{-1}$  for each  $\lambda$  in the annulus  $\{\lambda \in \mathbb{C} : 1 < |\lambda| < 3^{\min(\varepsilon, \frac{1}{2})}\}$  except for some discrete subset of points.*

This author observed in [8] that if  $\mathcal{K}_u$  is minimal invariant, then  $\mathcal{K}_u$  coincides with the subspace  $\vee_{n=-\infty}^{\infty} C_{\phi}^n u$ , spanned by all the iterates of  $C_{\phi}$  and its inverse at  $u$ . Under the assumptions on  $u$  in Theorem 1.4, this last space is not minimal invariant and hence neither is  $\mathcal{K}_u$ . Clearly condition (b) tells us  $u$  is continuously extendable by 0 at 1.

## 2. Minimal Invariant Subspaces

By  $\phi^{(-n)}$  we will denote  $\phi^{-1} \circ \phi^{-1} \circ \dots \circ \phi^{-1}$ ,  $n$  times. Denote  $\gamma(z) = (1+z)/(1-z)$ , observe that  $\gamma$  maps the unit disk onto the right half-plane, and  $\gamma \circ \phi = 3\gamma$ , hence  $\gamma \circ \phi^{(n)} = 3^n \gamma$  and  $\gamma \circ \phi^{(-n)} = 3^{-n} \gamma$ ,  $n \geq 1$ . This leads to

$$(2) \quad \phi^{(n)}(z) = \frac{(3^n + 1)z + (3^n - 1)}{(3^n + 1) + (3^n - 1)z}$$

and

$$(3) \quad \phi^{(-n)}(z) = \frac{(3^n + 1)z - (3^n - 1)}{(3^n + 1) - (3^n - 1)z}$$

for any positive integer  $n$ . We will need the following

**Proposition 2.1** *If  $z \in \mathbb{D}$ , then  $\phi^{(n)}(z) \rightarrow 1$  and  $\phi^{(-n)}(z) \rightarrow -1$ , nontangentially.*

*Proof.* Observe that  $\phi^{(n)}(z) = \gamma^{-1}(3^n \gamma(z))$ ,  $n \geq 1$ .  $3^n \gamma(z) \rightarrow \infty$  inside the right half-plane, along the line through the origin having direction vector  $\gamma(z)$ . But  $\gamma^{-1}(\infty) = 1$ , so  $\phi^{(n)}(z) \rightarrow 1$ . On the other hand, the line mentioned above is transformed by  $\gamma^{-1}$  into either a circle through  $-1$  and  $1$  (different from the unit circle), or the real line (if  $z \in \mathbb{R}$ ). Therefore  $\phi^{(n)}(z) \rightarrow 1$  nontangentially. The proof of  $\phi^{(-n)}(z) \rightarrow -1$  nontangentially is identical.  $\square$

We can use this fact now and pretty much the same idea as in [8], in order to give an improved version of Theorem 1.1. For each  $u \in H^2$ , denote by  $u(e^{i\theta})$ , the nontangential limit function of  $u$ , which, as it is known, exists on the unit circle a.e. with respect to the Lebesgue arc-length measure  $d\theta$ . Observe that if  $e^{i\theta} = \phi^{(n)}(e^{it})$ , then

$$dt = \left| \left( \phi^{(-n)}(e^{i\theta}) \right)' \right| d\theta \quad \text{i.e.} \quad dt = P(\phi^{(n)}(0), \theta) d\theta$$

where

$$(4) \quad P(z, \theta) = \frac{1 - r^2}{1 - 2r \cos(\theta - t) + r^2}, \quad z = re^{it}$$

is the usual Poisson kernel. In the sequel we shall often change the variables on the unit circle  $\mathbb{T}$  in this manner.

**Theorem 2.2** *If  $u \in H^2$ ,  $\alpha$  is one of the fixed points of  $\phi$ , the nontangential limit of  $u$  exists at  $\alpha$  and is nonzero, and  $u(e^{i\theta})$  is essentially bounded on an open arc containing  $\alpha$ , then  $\mathcal{K}_u$  is minimal invariant if and only if  $u$  is constant.*

*Proof.* Suppose  $\alpha = 1$ . Chose  $\delta > 0$  such that there is some  $M > 0$  such that  $|u(e^{i\theta})| \leq M$  a.e. for  $|\theta| \leq \delta$ . Denote  $\phi^{(n)}(0) = \frac{3^n - 1}{3^n + 1} = a_n$ . We can write

$$\begin{aligned} \|C_\phi^n u\|^2 &= \frac{1}{2\pi} \int_{-\pi}^{\pi} |u(e^{i\theta})|^2 P(a_n, \theta) d\theta = \\ &= \frac{1}{2\pi} \int_{-\delta}^{\delta} |u(e^{i\theta})|^2 P(a_n, \theta) d\theta + \frac{1}{2\pi} \int_{|\theta| \geq \delta} |u(e^{i\theta})|^2 P(a_n, \theta) d\theta \leq \\ &\leq M^2 + P(a_n, \delta) \|u\|^2. \end{aligned}$$

Since  $P(a_n, \delta) \rightarrow 0$  as  $n \rightarrow \infty$ , this proves that the sequence  $(u \circ \phi^{(n)})_n$  is a norm bounded sequence. By Proposition 2.1, this sequence tends pointwise to the nontangential limit of  $u$  at 1,  $u(1)$ . These two facts prove that this sequence converges weakly to  $u(1)$ . See [11] for a motivation of this statement. The weak closure and norm closure of  $\mathcal{K}_u$  coincide, since this is a linear subspace, hence a convex set. Thus  $\mathcal{K}_u$  contains the subspace  $\mathbb{C}$  of the constant functions because  $u(1)$  is nonzero. Obviously  $\mathbb{C}$  is invariant under  $C_\phi$ . The conclusion of the theorem follows. If the fixed point is  $-1$ , repeat the argument above with  $\phi^{(-n)}$  instead of  $\phi^{(n)}$ , and recall that a minimal invariant subspace of an invertible operator is doubly invariant, that is invariant both under the operator and its inverse ([8]).  $\square$

**Proposition 2.3** *If  $u$  is an eigenfunction of  $C_\phi$ , and the nontangential limit of  $u$  exists both at 1 and  $-1$ , then  $u$  is a constant function.*

*Proof.* Since  $u$  is an eigenfunction, there is some  $z \in \mathbb{D}$  such that  $u(z) \neq 0$ . Let  $\lambda$  be the eigenvalue corresponding to  $u$ . We have that  $u(\phi^{(n)}(z)) = \lambda^n u(z)$ . Let now  $n$  tend to  $\infty$  and use Proposition 2.1 to deduce that  $\lim_{n \rightarrow \infty} \lambda^n = u(1)/u(z)$ . Deduce that  $\lim_{n \rightarrow \infty} \lambda^{-n} = u(-1)/u(z)$ , by using the same proposition and the equality  $u(\phi^{(-n)}(z)) = \lambda^{-n} u(z)$ . Since both these limits exist  $\lambda$  must be 1. Hence, for each  $w \in \mathbb{D}$  and each positive integer  $n$ ,  $u(\phi^{(n)}(w)) = u(w)$ . Let now  $n$  tend to  $\infty$ , and deduce  $u(w) = u(1)$  for each  $w \in \mathbb{D}$ .  $\square$

### 3. The Inner Eigenfunctions of $C_\phi$

We define the orbit of each point in  $\mathbb{D}$  under  $\phi$ . For each  $\lambda \in \mathbb{D}$ , the orbit of  $\lambda$  under  $\phi$  is the set  $\text{Orb}(\lambda) = \{\phi^{(n)}(\lambda) : n \in \mathbb{Z}\}$ ;  $\mathbb{Z}$  denotes the set of all integers. If  $\nu$  is a positive, finite Borel measure on the unit circle  $\mathbb{T}$  which is singular with respect to the Lebesgue arc-length measure we denote by  $S_\nu$  the singular inner function induced by  $\nu$ , that is

$$S_\nu(z) = \exp\left(-\int_{\mathbb{T}} \frac{e^{i\theta} + z}{e^{i\theta} - z} d\nu(\theta)\right) \quad z \in \mathbb{D}.$$

If  $u$  and  $v$  are two inner functions which mutually divide each other we write  $u \sim v$ .

**Lemma 3.1** *Suppose  $\nu$  is a positive, finite Borel measure on  $\mathbb{T}$ , singular with respect to the Lebesgue arc-length measure; then there is a singular Borel measure  $\mu$  such that  $(S_\nu \circ \phi) \sim S_\mu$  and  $\mu$  is determined by*

$$(5) \quad \mu(\phi^{-1}(E)) = \int_E P(\phi(0), \theta) d\nu(\theta)$$

for each Borel subset  $E$  of  $\mathbb{T}$ .

*Proof.* The support of  $\nu$ ,  $\text{supp}\nu$  can be identified as "the set of all  $e^{i\theta} \in \mathbb{T}$  where  $S_\nu$  is not continuously extendable".  $S_\nu \circ \phi$  is an inner function without zeros in  $\mathbb{D}$ . Thus there exists  $\mu$  such that  $S_\nu \circ \phi$  and  $S_\mu$  divide each other. We readily see that  $\text{supp}\mu = \phi^{-1}(\text{supp}\nu)$ . We prove now (5) for Borel sets  $E$  which contain  $\text{supp}\nu$ . In this case,  $\text{supp}\mu \subseteq \phi^{-1}(E)$  and we can write

$$e^{-\mu(\phi^{-1}(E))} = |S_\mu(0)| = |S_\nu(\phi(0))| = e^{-\int_{\mathbb{T}} P(\phi(0), \theta) d\nu(\theta)} = e^{-\int_E P(\phi(0), \theta) d\nu(\theta)}$$

which takes care of (5). In all these computations we used the identity  $\text{Re} \frac{e^{i\theta} + z}{e^{i\theta} - z} = P(z, \theta)$ ,  $\theta \in \mathbb{R}$ ,  $z \in \mathbb{D}$ . For an arbitrary Borel set  $E$  now, denote by  $\nu_E$  the measure  $\nu_E(A) = \nu(E \cap A)$ , for each Borel set  $A \subseteq \mathbb{T}$ . We have that  $(S_{\nu_E} \circ \phi) \sim S_{\mu_1}$  for some  $\mu_1$ , and  $\text{supp}\mu_1 \subseteq \phi^{-1}(E)$ . On the other hand,  $S_\mu \sim ((S_{\mu_1})(S_{\nu_{E^c}} \circ \phi))$  because  $\nu = \nu_E + \nu_{E^c}$ .  $E^c$  is the complement of  $E$ . So, if  $(S_{\nu_{E^c}} \circ \phi) \sim S_{\mu_2}$  we have  $\mu = \mu_1 + \mu_2$ ,  $\text{supp}\mu_1 \subseteq \phi^{-1}(E)$ ,  $\text{supp}\mu_2 \subseteq \phi^{-1}(E^c)$  i.e.  $\mu_1 \perp \mu_2$ . So  $\mu_{\phi^{-1}(E)} = \mu_1$  and  $\mu_{\phi^{-1}(E^c)} = \mu_2$ . Thus

$$\mu(\phi^{-1}(E)) = \mu_1(\phi^{-1}(E)) = \int_E P(\phi(0), \theta) d\nu_E(\theta)$$

by what has already been proved. Since  $\int_E P(\phi(0), \theta) d\nu_E(\theta) = \int_E P(\phi(0), \theta) d\nu(\theta)$ , the desired conclusion follows.  $\square$

**Theorem 3.2** *If  $\nu$  is a positive, finite Borel measure on  $\mathbb{T}$ , singular with respect to the Lebesgue measure, then  $S_\nu$  is an eigenfunction of  $C_\phi$  if and only if the measure  $\nu\phi^{-1}$  given by  $\nu\phi^{-1}(E) = \nu(\phi^{-1}(E))$ , is absolutely continuous with respect to  $\nu$ , and its Radon-Nikodym derivative with respect to  $\nu$  is  $P(\phi(0), \theta)$ .*

*Proof.*  $S_\nu$  is an eigenfunction of  $C_\phi$  if and only if  $(S_\nu \circ \phi) \sim S_\nu$ . By Lemma 3.1 this is equivalent to

$$\nu(\phi^{-1}(E)) = \int_E P(\phi(0), \theta) d\nu(\theta)$$

for each Borel set  $E \subseteq \mathbb{T}$ , which means exactly that  $d(\nu\phi^{-1})(\theta) = P(\phi(0), \theta) d\nu(\theta)$ .  $\square$

**Corollary 3.3** *If  $S_\nu$  is a nonconstant, singular, inner eigenfunction of  $C_\phi$ , then  $\phi(\text{supp}\nu) = \text{supp}\nu$ ,  $\{-1, 1\} \subseteq \text{supp}\nu$ , and  $\nu(\{1\}) = \nu(\{-1\}) = 0$ .*

*Proof.* If  $K = \text{supp}\nu$ , since  $(S_\nu \circ \phi) \sim S_\nu$ , like in the proof of Lemma 3.1 we deduce  $\text{supp}\nu = \phi^{-1}(\text{supp}\nu)$  i.e.  $\phi(K) = K$ . If one of the fixed points of  $\phi$  is not in  $K$ , we can extend  $S_\nu(e^{i\theta})$  continuously at that point by a nonzero value. According to Theorem 1.1,  $\mathcal{K}_{S_\nu}$  is nonminimal, which is a contradiction. Thus  $\{-1, 1\} \subseteq K$ . If we suppose  $\nu(\{1\}) = a > 0$ , we have  $a = \nu(\{\phi^{-1}(1)\}) = P(\phi(0), 0)\nu(\{1\}) = P(\frac{1}{2}, 0)a$ , according to (5) with  $\mu = \nu$ . We get  $P(\frac{1}{2}, 0) = 1$ , which is false. We admit therefore that  $\nu(\{1\}) = 0$ . Similarly  $\nu(\{-1\}) = 0$ .  $\square$

To see that nonzero measures inducing singular, inner eigenfunctions of  $C_\phi$  do exist, we shall give the following

**Example 3.4** Choose  $\lambda \in \mathbb{T}$ ,  $\lambda \neq \pm 1$ . Set

$$w_n = \frac{a}{\prod_{k=1}^n P(\phi(0), \arg(\phi^{(k)}(\lambda)))}, \quad n \geq 1$$

$$w_{-n} = a \prod_{k=1}^{n-1} P(\phi(0), \arg(\phi^{(-k)}(\lambda))), \quad n > 1$$

and  $w_0 = a$ , where  $a$  is any fixed positive number, and  $\arg$  designates the argument of a complex number. For each Borel set  $A \subseteq \mathbb{T}$ , if  $A \cap \text{Orb}(\lambda) \neq \emptyset$ , denote  $A \cap \text{Orb}(\lambda) = \{\phi^{(j)}(\lambda) : j \in J\}$ ,  $J \subseteq \mathbb{Z}$ , and define  $\nu(A) = \sum_{j \in J} w_j$ , and  $\nu(A) = 0$  if  $A \cap \text{Orb}(\lambda) = \emptyset$ . Obviously we obtain a singular, positive, Borel measure on  $\mathbb{T}$ . To see it is also finite, observe that

$$\frac{w_{n+1}}{w_n} = \frac{1}{P(\phi(0), \arg(\phi^{(n+1)}(\lambda)))} \rightarrow \frac{1}{P(\phi(0), 0)} = \frac{1}{3}.$$

Similarly  $\frac{w_{-n-1}}{w_{-n}} \rightarrow \frac{1}{3}$ , and by the quotient test  $\sum_{-\infty}^{\infty} w_n < \infty$ . Now, if  $\mu$  is that singular Borel measure for which  $S_\mu \sim (S_\nu \circ \phi)$ , observe that  $\text{supp} \mu = \phi^{-1}(\text{supp} \nu) = \phi^{-1}(\text{Orb}(\lambda)) = \text{Orb}(\lambda)$ , and we have by (5)

$$\mu(\phi^{-1}(\{\phi^{(n)}(\lambda)\})) = P(\phi(0), \arg(\phi^{(n)}(\lambda))) \nu(\{\phi^{(n)}(\lambda)\}), \quad n \geq 1$$

that is

$$\mu(\{\phi^{(n-1)}(\lambda)\}) = P(\phi(0), \arg(\phi^{(n)}(\lambda))) \frac{a}{\prod_{k=1}^n P(\phi(0), \arg(\phi^{(k)}(\lambda)))}$$

hence

$$\mu(\{\phi^{(n-1)}(\lambda)\}) = \nu(\{\phi^{(n-1)}(\lambda)\}) \quad n \geq 1.$$

A similar computation shows that the equality above holds for each  $n \leq 0$ . Thus  $\mu = \nu$ , that is  $S_\nu$  is a nonconstant, singular, inner eigenfunction of  $C_\phi$ .

Each inner function can be factored in an essentially unique way in a product of a singular inner function and a Blaschke product. The natural question now is which Blaschke products are eigenfunctions of  $C_\phi$ . If  $B$  is a Blaschke product and  $z$  a zero of  $B$  we denote by  $\text{mult}(z)$  the multiplicity of  $z$ .

**Theorem 3.5** *A Blaschke product  $B$  is an eigenfunction of  $C_\phi$  if and only if, either  $B$  is a unimodular constant, or  $B$  has the following properties. If  $Z(B)$  denotes the set of all zeros of  $B$ , then for each  $\lambda \in Z(B)$  we have that  $\text{Orb}(\lambda) \subseteq Z(B)$  and for each  $z, w \in \text{Orb}(\lambda)$ ,  $\text{mult}(z) = \text{mult}(w)$ .*

*Proof.* Suppose  $B$  is a Blaschke product, having infinitely many zeros. If  $a \in \mathbb{D}$  denote  $b = \phi^{-1}(a)$  and

$$\phi_a(z) = \frac{a - z}{1 - \bar{a}z}, \quad z \in \mathbb{D}.$$

Observe that

$$\phi_a \circ \phi = e^{i\theta} \phi_b$$

for some unimodular  $e^{i\theta}$ . We see that, if  $(z_n)_n$  is the sequence of the zeros of  $B$ , each one repeated according to its multiplicity, then  $B \circ \phi$  is a Blaschke product having zeros  $(\phi^{-1}(z_n))_n$ .  $B$  is an eigenfunction of  $C_\phi$  if and only if the Blaschke products  $B$  and  $B \circ \phi$  mutually divide each other, as inner functions. This is equivalent with the fact that,  $B$  and  $B \circ \phi$  have the same zeros with exactly the same multiplicities ([1]). In this situation is plain to see that for each integer  $n$ ,  $B \sim B \circ \phi^{(n)}$ . The desired conclusion is now immediate. Indeed, if  $\lambda \in Z(B)$  then  $\text{Orb}(\lambda)$  must be contained by  $Z(B)$  because otherwise, for some  $n$ ,  $B$  and  $B \circ \phi^{(n)}$  would fail to have the same zeros. Also, on each orbit  $\text{Orb}(\lambda) \subseteq Z(B)$ , the multiplicity function should be constant, since for each  $n$ ,  $B$  and  $B \circ \phi^{(n)}$  should have the same zeros with the same multiplicities. Clearly Blaschke products whose set of zeros is a union of full orbits and such that the zeros in each orbit have the same multiplicity are eigenfunctions of  $C_\phi$ . Observe that nonconstant Blaschke products with finitely many factors cannot be eigenfunctions of  $C_\phi$  by the same argument we use in the proof of Example 1.2.  $\square$

We wish to make the following comments here. Observe that the statement of this theorem says that the set of all zeros of  $B$  must be a union of full orbits and the zeros belonging to the same orbit should have the same multiplicity, i.e. the multiplicity function must be constant on each orbit, which of course does not exclude the possibility that the multiplicity function have distinct values on different orbits. Also observe that distinct orbits must be disjoint sets. Can the situation described in the theorem above occur frequently? Some examples might be in order here.

REMARK 1. For each  $z \in \mathbb{D}$ , we have that  $\sum_{\lambda \in \text{Orb}(z)} (1 - |\lambda|)$  is convergent, that is the Blaschke product having zeros  $(\phi^{(n)}(z))_n$ , each with multiplicity 1, is convergent.

*Proof.* It is easy to verify that for each positive integer  $n$  we have that

$$(6) \quad 1 - |\phi^{(n)}(z)|^2 = \frac{4(1 - |z|^2)}{(1 - \frac{1}{3^n})^2 |z|^2 + 2(1 - \frac{1}{3^{2n}}) \text{Re} z + (1 + \frac{1}{3^n})^2} \cdot \frac{1}{3^n}$$

and

$$(7) \quad 1 - |\phi^{(-n)}(z)|^2 = \frac{4(1 - |z|^2)}{(1 - \frac{1}{3^n})^2 |z|^2 - 2(1 - \frac{1}{3^{2n}}) \text{Re} z + (1 + \frac{1}{3^n})^2} \cdot \frac{1}{3^n}.$$

It is equally easy to deduce from (6) that the series  $\sum_{n \geq 1} (1 - |\phi^{(n)}(z)|^2)$  is convergent since  $\sum_{n \geq 1} \frac{1}{3^n} < \infty$ . For the same reason  $\sum_{n \geq 1} (1 - |\phi^{(-n)}(z)|^2) < \infty$ . Observe that, if  $(\lambda_n)_n$  is a sequence in  $\mathbb{D}$ , then  $\sum_n (1 - |\lambda_n|) < \infty$  if and only if  $\sum_n (1 - |\lambda_n|^2) < \infty$  because

$$1 - |\lambda_n|^2 \leq 2(1 - |\lambda_n|) \leq 2(1 - |\lambda_n|^2).$$

We deduce  $\sum_{n \in \mathbb{Z}} (1 - |\phi^{(n)}(z)|) < \infty$  for each  $z \in \mathbb{D}$ .  $\square$

We have already observed that, if  $z, w \in \mathbb{D}$  then  $\text{Orb}(z)$  and  $\text{Orb}(w)$  either coincide or are disjoint. In connection with that we wish to make the following



REMARK 2. There exist convergent Blaschke products  $B$  such that  $B$  is an eigenfunction of  $C_\phi$  and  $Z(B)$  is an infinite union of distinct orbits.

*Proof.* Choose a sequence  $(z_n)_n$  in  $\mathbb{D}$  such that for each  $i, j \geq 0$ ,  $i \neq j$ , we have  $\text{Orb}(z_i) \neq \text{Orb}(z_j)$  and such that both 1 and  $-1$  are not accumulation points for the set  $\{z_j : j \geq 1\}$ . Taking into account this last condition and equalities (6) and (7) we deduce that there exist  $c, c' > 0$  such that

$$(8) \quad 1 - |\phi^{(n)}(z_j)|^2 \leq c \frac{1}{3^n} (1 - |z_j|^2) \quad n \geq 1, j \geq 1$$

and

$$(9) \quad 1 - |\phi^{(-n)}(z_j)|^2 \leq c' \frac{1}{3^n} (1 - |z_j|^2) \quad n \geq 1, j \geq 1.$$

To see it is so, observe that

$$(3^n + 1) \pm (3^n - 1)z_j = (3^n - 1) \left( \frac{3^n + 1}{3^n - 1} \pm z_j \right).$$

As  $n \rightarrow \infty$ ,  $\frac{3^n + 1}{3^n - 1} \rightarrow 1$ , but the set  $\{z_j : j \geq 1\}$  is not arbitrarily close to 1 or  $-1$ . Now, if we require that  $\sum_{j \geq 1} (1 - |z_j|) < \infty$ , we deduce by (8) and (9) that the Blaschke product having zeros  $(\phi^{(n)}(z_j))_{n \in \mathbb{Z}, j \geq 1}$  each with multiplicity 1, is convergent and is, according to Theorem 3.5 an eigenfunction of  $C_\phi$   $\square$

These two theorems completely characterize those inner functions which are eigenfunctions of  $C_\phi$ . Indeed, if  $u$  is inner,  $u = BS_\nu$  where  $B$  is a (possibly constant) Blaschke product and  $\nu$  is a singular, positive, finite, Borel measure on  $\mathbb{T}$  (see [5], [6], [7], or [13]). This decomposition of  $u$  is unique up to multiplication with a unimodular constant.  $u$  is an eigenfunction of  $C_\phi$  if and only if  $u$  and  $u \circ \phi$  mutually divide each other as inner functions. This is true if and only if the Blaschke products, respectively the singular inner functions associated to  $u$  and  $u \circ \phi$  mutually divide each other ([1]), i.e. if and only if both  $B$  and  $S_\nu$  are eigenfunctions of  $C_\phi$ . The description of the inner eigenfunctions of  $C_\phi$  provided in this section works for any composition operator induced by a disk automorphism since in the proofs of the two theorems describing the singular inner eigenfunctions and the Blaschke products which are eigenfunctions we only used the fact that  $\phi$  is a disk automorphism.

#### 4. Some Applications

In this section we make use of the previously obtained results to decide if the cyclic, invariant subspaces of  $C_\phi$  are minimal invariant or not.

**Proposition 4.1** *If  $\nu$  is a positive, finite, singular, Borel measure on  $\mathbb{T}$ , and  $\nu(\{1\}) \neq 0$ , then  $\mathcal{K}_{S_\nu}$  is not minimal invariant.*

*Proof.* If  $\nu(\{1\}) = a > 0$ ,  $\exp(-a \frac{1+z}{1-z})$  is a common, inner divisor of the family of inner functions  $\{S_\nu \circ \phi^{(n)} : n \geq 1\}$ , and consequently divides the greatest common inner divisor  $S_\mu$ , of the same family of inner functions. The fact that the greatest

inner divisor referred above is a singular inner function is clear, since it is an inner function without zeros in  $\mathbb{D}$ . To see that  $\exp(-a\frac{1+z}{1-z})$  is a common divisor, observe that  $\exp(-a\frac{1+z}{1-z}) \circ \phi^{(n)} = \exp(-3^n a\frac{1+z}{1-z})$ . Therefore we have that  $\mu(\{1\}) \geq a > 0$ , (see [1]). By Corollary 3.3,  $S_\mu$  cannot be an eigenfunction of  $C_\phi$ , and by Theorem 1.3,  $\mathcal{K}_{S_\nu}$  cannot be minimal invariant.  $\square$

So if we need a minimal invariant cyclic subspace generated by a nonconstant, singular inner function  $S_\nu$ , we should first make sure that 1 and  $-1$  are in the support of  $\nu$ , and  $\nu(\{1\}) = 0$ . Nevertheless, this is not enough.

**Proposition 4.2** *Under the assumptions above on  $\nu$ , if  $\int_{\mathbb{T}} \frac{1}{\theta^2} d\nu(\theta) < \infty$  then  $\mathcal{K}_{S_\nu}$  is not minimal invariant.*

*Proof.* Consider  $z = r$ , for  $0 < r < 1$ . We have

$$\frac{1 - |S_\nu(z)|}{1 - |z|} = \frac{1 - e^{-\int_{\mathbb{T}} P(z, \theta) d\nu(\theta)}}{1 - |z|} \leq \frac{\int_{\mathbb{T}} P(z, \theta) d\nu(\theta)}{1 - |z|}$$

because  $e^x \geq x + 1$ , for each  $x \in \mathbb{R}$ . So

$$\frac{1 - |S_\nu(z)|}{1 - |z|} \leq \int_{\mathbb{T}} \frac{P(r, \theta)}{1 - r} d\nu(\theta)$$

and for  $0 < |\theta| \leq \pi$ , we have that  $P(r, \theta) \leq \frac{\pi^2}{\theta^2}(1 - r)$ . Therefore

$$\frac{1 - |S_\nu(z)|}{1 - |z|} \leq \pi^2 \int_{\mathbb{T}} \frac{1}{\theta^2} d\nu(\theta) < \infty$$

for each  $r$ ,  $0 < r < 1$ . Let now  $r \rightarrow 1$ , and deduce  $\liminf_{z \rightarrow 1} \frac{1 - |S_\nu(z)|}{1 - |z|} < \infty$ , so by the Julia-Carathéodory theorem ([4], Theorem 2.44), the nontangential limit of  $S_\nu$  at 1 exists and is unimodular, and hence nonzero. The conclusion follows by Theorem 2.2.  $\square$

### 5. Bilateral Orbits of Invertible Operators

The purpose of this section is to generalize the main idea in [2] to a statement valid for a larger class of invertible Banach space operators and then, use this result to give a shorter proof to a more general version of Theorem 1.4. Throughout this section  $\mathcal{X}$  will denote a complex Banach space and  $T$  will be an invertible, bounded operator acting on  $\mathcal{X}$ . The bilateral orbit of  $x \in \mathcal{X}$  under  $T$  is the set  $\text{Orb}(x) = \{T^n x : n \in \mathbb{Z}\}$ . We say that the bilateral orbit of  $x$  approaches 0 exponentially if there exist constants  $M > 0$  and  $a$ ,  $0 < a < 1$  such that  $\|T^n x\| \leq Ma^n$  for each  $n \geq 1$ , or  $\|T^{-n} x\| \leq Ma^n$  for each  $n \geq 1$ . The main idea in [2] was constructing eigenvectors of the form described in Theorem 1.4. This construction works in the following general framework.

**Theorem 5.1** *If  $x \neq 0$  and  $\overline{\lim} \|T^{-n} x\|^{\frac{1}{n}} \overline{\lim} \|T^n x\|^{\frac{1}{n}} < 1$ , then the point spectrum of the restriction of  $T$  to the invariant subspace  $\bigvee_{n=-\infty}^{\infty} T^n x$  contains an open annulus centered at 0.*

*Proof.* Denote  $l = \overline{\lim} \|T^n x\|^{\frac{1}{n}} < \frac{1}{\overline{\lim} \|T^{-n} x\|^{\frac{1}{n}}} = L$ . Consider the vector  $v_\lambda = \sum_{n=-\infty}^{\infty} \lambda^n T^{-n} x$ . Observe that  $\overline{\lim} \|\lambda^n T^{-n} x\|^{\frac{1}{n}} = |\lambda| \overline{\lim} \|T^{-n} x\|^{\frac{1}{n}} < 1$  if  $|\lambda| < L$ , and  $\overline{\lim} \|\lambda^{-n} T^n x\|^{\frac{1}{n}} = |\lambda|^{-1} \overline{\lim} \|T^n x\|^{\frac{1}{n}} < 1$  if  $l < |\lambda|$  so the series we considered converges for each  $\lambda$  in the annulus  $A = \{z \in \mathbb{C} : l < |z| < L\}$ . Clearly  $Tv_\lambda = \lambda v_\lambda$ . The only thing there is to prove now is that  $v_\lambda \neq 0$  for all  $\lambda$  in an open annulus. Since  $x \neq 0$  we can choose  $\varphi$  a bounded linear functional on  $\mathcal{X}$  such that  $\varphi(x) \neq 0$ . Therefore the function  $f(\lambda) = \sum_{n=-\infty}^{\infty} \lambda^n \varphi(T^{-n} x) = \varphi(v_\lambda)$  is a nonzero function analytic on  $A$  and therefore its set of zeros will be a discrete subset of  $A$ . This means that  $v_\lambda$  can be zero only if  $\lambda$  belongs to this discrete set so the point spectrum of our operator will contain a full open annulus.  $\square$

The statement in Theorem 1.4 can be generalized in this framework as follows.

**Corollary 5.2** *If  $x \neq 0$ ,  $\text{Orb}(x)$  is bounded and approaches 0 exponentially, then the point spectrum of the restriction of  $T$  to the invariant subspace  $\bigvee_{n=-\infty}^{\infty} T^n x$  contains an open annulus centered at 0.*

*Proof.* If  $\|T^n x\| \leq Ma^n$  then  $\overline{\lim} \|T^n x\|^{\frac{1}{n}} \leq a$ . The fact that the bilateral orbit is bounded implies that  $\overline{\lim} \|T^{-n} x\|^{\frac{1}{n}} \leq 1$ . Hence

$$\overline{\lim} \|T^{-n} x\|^{\frac{1}{n}} \overline{\lim} \|T^n x\|^{\frac{1}{n}} < 1.$$

If  $\|T^{-n} x\| \leq Ma^n$  replace  $T$  by  $T^{-1}$  in the argument above.  $\square$

We return now to the hyperbolic composition operator and give a short proof to a generalized version of Theorem 1.4.

**Theorem 5.3** *If  $u$  is a nonzero function in  $H^2$  such that there exist constants  $\varepsilon$  and  $\delta$ ,  $-\frac{1}{2} < -\delta < \varepsilon < \frac{1}{2}$  so that*

$$(i) \quad \frac{|u(e^{i\theta})|}{|1 - e^{i\theta}|^\varepsilon} \quad \text{is essentially bounded on an open arc } C_1 \text{ containing } 1$$

(ii)  $\frac{|u(e^{i\theta})|}{|1 + e^{i\theta}|^\delta}$  is essentially bounded on an open arc  $C_{-1}$  containing  $-1$

then the point spectrum of the restriction of  $C_\phi$  to the invariant subspace  $\bigvee_{n=-\infty}^\infty C_\phi^n u$  contains an open annulus centered at 0.

*Proof.* We shall prove  $\overline{\lim} \|C_\phi^{-n} u\|^\frac{1}{n} \leq 3^{-\delta} < 3^\varepsilon \leq (\overline{\lim} \|C_\phi^n u\|^\frac{1}{n})^{-1}$ . Like in the proof of Theorem 2.2 write

$$\begin{aligned} (10) \quad \|C_\phi^n u\|^2 &= \frac{1}{2\pi} \int_{\phi^{(-n)}(C_1)} |u \circ \phi^{(n)}(e^{i\theta})|^2 d\theta + \frac{1}{2\pi} \int_{\phi^{(-n)}(\mathbb{T} \setminus C_1)} |u \circ \phi^{(n)}(e^{i\theta})|^2 d\theta = \\ &= \frac{1}{2\pi} \int_{\phi^{(-n)}(C_1)} |u \circ \phi^{(n)}(e^{i\theta})|^2 d\theta + \frac{1}{2\pi} \int_{|\theta| > c} |u(e^{i\theta})|^2 P(\phi^{(n)}(0), \theta) d\theta \leq \\ &\leq \frac{1}{2\pi} \int_{\phi^{(-n)}(C_1)} |u \circ \phi^{(n)}(e^{i\theta})|^2 d\theta + P(\phi^{(n)}(0), c) \|u\|^2 \end{aligned}$$

where  $-c$  and  $c$  are the arguments of the endpoints of  $C_1$ . Solve now (2) for  $3^n$  and get the identity

$$(11) \quad \frac{(1-z)(1+\phi^{(n)}(z))}{(1+z)(1-\phi^{(n)}(z))} = 3^n.$$

Use now (i) and (11) to obtain that

$$\begin{aligned} |u(\phi^{(n)}(e^{i\theta}))|^2 &= \frac{1}{3^{2n\varepsilon}} \left( \frac{|1 - e^{i\theta}|}{|1 + e^{i\theta}|} \right)^{2\varepsilon} |u(\phi^{(n)}(e^{i\theta}))|^2 \left( \frac{|1 + \phi^{(n)}(e^{i\theta})|}{|1 - \phi^{(n)}(e^{i\theta})|} \right)^{2\varepsilon} \leq \\ &\leq \frac{M}{3^{2n\varepsilon}} \left( \frac{|1 - e^{i\theta}|}{|1 + e^{i\theta}|} \right)^{2\varepsilon} \quad \text{a.e. on } \phi^{(-n)}(C_1) \end{aligned}$$

for some  $M > 0$ . Taking (10) and this last inequality into consideration and writing the Poisson kernel explicitly we obtain

$$\begin{aligned} (12) \quad \|C_\phi^n u\|^\frac{1}{n} &\leq \frac{1}{3^\varepsilon} \left( \frac{1}{2\pi} M \int_{-\pi}^\pi \left( \frac{|1 - e^{i\theta}|}{|1 + e^{i\theta}|} \right)^{2\varepsilon} d\theta + \right. \\ &\quad \left. + 4 \frac{3^{2n\varepsilon+n}}{(3^n+1)^2(1-2|\phi^{(n)}(0)|\cos c + |\phi^{(n)}(0)|^2)} \|u\|^2 \right)^\frac{1}{2n} \end{aligned}$$

Observe now that  $\int_{-\pi}^\pi (|1 - e^{i\theta}|/|1 + e^{i\theta}|)^{2\varepsilon} d\theta$  is finite if and only if  $-\frac{1}{2} < \varepsilon < \frac{1}{2}$  and

$$\lim_{n \rightarrow \infty} \frac{3^{2n\varepsilon+n}}{(3^n+1)^2(1-2|\phi^{(n)}(0)|\cos c + |\phi^{(n)}(0)|^2)} < \infty$$

only if  $\varepsilon \leq \frac{1}{2}$ . Now let  $n$  go to infinity in (12) and deduce  $\overline{\lim} \|C_\phi^n u\|^\frac{1}{n} \leq 3^{-\varepsilon}$  i.e.  $3^\varepsilon \leq 1/\overline{\lim} \|C_\phi^n u\|^\frac{1}{n}$ . To obtain  $\overline{\lim} \|C_\phi^{-n} u\|^\frac{1}{n} \leq 3^{-\delta}$  repeat the same argument with  $-1$  instead of  $1$ ,  $C_\phi^{-n}$  instead of  $C_\phi^n$ ,  $C_{-1}$  instead of  $C_1$ , and  $\delta$  instead of  $\varepsilon$ .  $\square$

REMARK 3. To get Theorem 1.4 choose  $\varepsilon > 0$  and  $\delta = 0$  in Theorem 5.3. Also observe that, by the proof of Theorem 2.2 condition (a) in Theorem 1.4 is sufficient for  $(C_\phi^{-n}u)_n$  to be a norm-bounded sequence whereas, by the proof of Theorem 5.3, condition (b) is sufficient for the sequence  $(C_\phi^n u)_n$  to approach exponentially 0 with rate  $a = 3^{-\varepsilon}$ .

The essential boundedness conditions (i) and (ii) can be replaced by more general mean boundedness conditions.

**Theorem 5.4** *If  $u \in H^2$ ,  $u \neq 0$  is such that there exist constants  $\varepsilon, \delta, p_1, p_2$ , and open arcs  $C_1$  and  $C_{-1}$  containing 1 and  $-1$ , respectively, such that*

$$(i)' \quad \int_{C_1} \frac{|u(e^{i\theta})|^{2p_1}}{|1 - e^{i\theta}|^{2p_1\varepsilon}} P(\phi^{(n)}(0), \theta) d\theta \quad \text{is bounded for } n \geq 1$$

$$(ii)' \quad \int_{C_{-1}} \frac{|u(e^{i\theta})|^{2p_2}}{|1 + e^{i\theta}|^{2p_2\delta}} P(\phi^{(-n)}(0), \theta) d\theta \quad \text{is bounded for } n \geq 1$$

and if  $-\delta < \varepsilon$ ,  $-\frac{1}{2q_1} < \varepsilon < \frac{1}{2q_1}$ ,  $-\frac{1}{2q_2} < \delta < \frac{1}{2q_2}$ , where  $q_1 = 1 - \frac{1}{p_1}$ ,  $q_2 = 1 - \frac{1}{p_2}$ ,  $1 < p_1, 1 < p_2$ , then the point spectrum of the restriction of  $C_\phi$  to the invariant subspace  $\bigvee_{n=-\infty}^{\infty} C_\phi^n u$  contains an open annulus centered at 0.

*Proof.* The only difference between the proof of Theorem 5.3 and this proof is that here we use Hölder's inequality for  $1 < p_i, q_i < \infty$ ,  $i = 1, 2$  instead of using it for 1 and  $\infty$  as we did in the other proof. Now we argue exactly as in the proof of Theorem 5.3, until we obtain

$$\begin{aligned} |u(\phi^{(n)}(e^{i\theta}))|^2 &= \frac{1}{3^{2n\varepsilon}} \left( \frac{|1 - e^{i\theta}|}{|1 + e^{i\theta}|} \right)^{2\varepsilon} |u(\phi^{(n)}(e^{i\theta}))|^2 \left( \frac{|1 + \phi^{(n)}(e^{i\theta})|}{|1 - \phi^{(n)}(e^{i\theta})|} \right)^{2\varepsilon} \leq \\ &\leq \frac{M}{3^{2n\varepsilon}} \left( \frac{|1 - e^{i\theta}|}{|1 + e^{i\theta}|} \right)^{2\varepsilon} \frac{|u \circ \phi^{(n)}(e^{i\theta})|^2}{|1 - \phi^{(n)}(e^{i\theta})|^{2\varepsilon}} \quad \text{a.e. on } \phi^{(-n)}(C_1) \end{aligned}$$

for some  $M > 0$ . Integrate on  $\phi^{(-n)}(C_1)$  and use Hölder's inequality to get

$$\begin{aligned} &\int_{\phi^{(-n)}(C_1)} |u \circ \phi^{(n)}(e^{i\theta})|^2 \leq \\ &\leq \frac{M}{3^{2n\varepsilon}} \left( \int_{\phi^{(-n)}(C_1)} \left( \frac{|1 - e^{i\theta}|}{|1 + e^{i\theta}|} \right)^{2q_1\varepsilon} d\theta \right)^{\frac{1}{q_1}} \left( \int_{\phi^{(-n)}(C_1)} \frac{|u \circ \phi^{(n)}(e^{i\theta})|^{2p_1}}{|1 - \phi^{(n)}(e^{i\theta})|^{2p_1\varepsilon}} d\theta \right)^{\frac{1}{p_1}} \end{aligned}$$

The last quantity is equal to

$$\begin{aligned} &\frac{M}{3^{2n\varepsilon}} \left( \int_{\phi^{(-n)}(C_1)} \left( \frac{|1 - e^{i\theta}|}{|1 + e^{i\theta}|} \right)^{2q_1\varepsilon} d\theta \right)^{\frac{1}{q_1}} \left( \int_{C_1} \frac{|u(e^{i\theta})|^{2p_1}}{|1 - e^{i\theta}|^{2p_1\varepsilon}} P(a_n, \theta) d\theta \right)^{\frac{1}{p_1}} \\ &\leq \frac{M'}{3^{2n\varepsilon}} \left( \int_{\phi^{(-n)}(C_1)} \left( \frac{|1 - e^{i\theta}|}{|1 + e^{i\theta}|} \right)^{2q_1\varepsilon} d\theta \right)^{\frac{1}{q_1}} \end{aligned}$$

for some  $M' > 0$ , where  $a_n = \phi^{(n)}(0)$ . We took of course condition (i)' into consideration. We continue now exactly as in the proof of Theorem 5.3 replacing in (12) the integral  $\int_{-\pi}^{\pi} \left( \frac{|1-e^{i\theta}|}{|1+e^{i\theta}|} \right)^{2\varepsilon} d\theta$  by the integral  $\left( \int_{-\pi}^{\pi} \left( \frac{|1-e^{i\theta}|}{|1+e^{i\theta}|} \right)^{2q_1\varepsilon} d\theta \right)^{\frac{1}{q_1}}$ . This last integral is finite only if  $-\frac{1}{2q_1} < \varepsilon < \frac{1}{2q_1}$ . Like in the proof of Theorem 5.3 we get  $\overline{\lim} \|C_\phi^n u\|^{\frac{1}{n}} \leq 3^{-\varepsilon}$  and  $\overline{\lim} \|C_\phi^{-n} u\|^{\frac{1}{n}} \leq 3^{-\delta}$ .  $\square$

Let's give an example where Theorem 5.4 can be applied. Suppose  $p > 2$  and  $u$  is analytic on  $\mathbb{D}$ , such that  $\int_{-\pi}^{\pi} |u(e^{i\theta})|^p / |1-e^{i\theta}|^2 d\theta < \infty$ . In this case  $u \in H^p(\mathbb{D}) \subseteq H^2$ . Furthermore, if  $\mathbb{P}$  denotes the right open half-plane  $\mathbb{P} = \{z \in \mathbb{C} : \operatorname{Re} z > 0\}$ , then the function  $v(w) = u((w-1)/(w+1))$ ,  $w \in \mathbb{P}$ , is in  $H^p(\mathbb{P})$  and the converse is also true i.e. if  $v \in H^p(\mathbb{P})$  and we define  $u(z) = v((1+z)/(1-z))$ ,  $z \in \mathbb{D}$ , then  $u \in H^p(\mathbb{D})$  and  $\int_{-\pi}^{\pi} |u(e^{i\theta})|^p / |1-e^{i\theta}|^2 d\theta < \infty$ . We refer to [7] for these statements.

**Theorem 5.5** *If for some  $p > 2$  we have that  $\int_{-\pi}^{\pi} |u(e^{i\theta})|^p / |1-e^{i\theta}|^2 d\theta < \infty$ ,  $u \neq 0$ , and  $u(e^{i\theta})$  is essentially bounded on an open arc containing  $-1$ , then the point spectrum of the restriction of  $C_\phi$  to the invariant subspace  $\bigvee_{n=-\infty}^{\infty} C_\phi^n u$  contains an open annulus centered at 0.*

*Proof.* The essential boundedness of  $u(e^{i\theta})$  on an open arc containing  $-1$  shows that condition (ii)' holds for  $\delta = 0$  for any  $p_2 > 1$ . Choose now  $p_1 > 1$  and  $\varepsilon > 0$  such that  $2p_1 < p$  and  $\varepsilon < \min(1/p, 1/2q_1)$  where as usual  $\frac{1}{q_1} = 1 - \frac{1}{p_1}$ . Clearly  $-\delta < \varepsilon$ . Consider now the sequence

$$(13) \quad \int_{-\pi}^{\pi} \frac{|u(e^{i\theta})|^{2p_1}}{|1-e^{i\theta}|^{2p_1\varepsilon}} P(a_n, \theta) d\theta, \quad n \geq 1$$

where  $a_n = \frac{3^n-1}{3^n+1} = \phi^{(n)}(0)$ . Set  $e^{i\theta} = \frac{it-1}{it+1}$ , observe that  $d\theta = \frac{2}{1+t^2} dt$ ,  $P(a_n, \theta)$  is transformed into  $\frac{3^n(1+t^2)}{3^{2n}+t^2}$ , and hence the sequence in (13) is bounded if and only if the following sequence is bounded.

$$(14) \quad \int_{-\infty}^{\infty} \frac{|v(it)|^{2p_1} 3^n (1+t^2)^{p_1\varepsilon}}{3^{2n} + t^2} dt, \quad n \geq 1$$

where  $v \in H^p(\mathbb{P})$  is obtained from  $u$  by  $v(w) = u((w-1)/(w+1))$ ,  $w \in \mathbb{P}$ , and  $v(it)$  is the limit function of  $v$ . Consider now  $M > 0$  and set  $\alpha = p/2p_1$ ,  $1/\beta = 1 - 1/\alpha$ . Since  $\frac{3^n|t|}{(3^{2n}+t^2)} \leq \frac{1}{2}$  for each  $n \geq 1$  and  $t \in \mathbb{R}$ , we can write

$$\begin{aligned} \int_{|t| \geq M} \frac{|v(it)|^{2p_1} 3^n (1+t^2)^{p_1\varepsilon}}{3^{2n} + t^2} dt &\leq \frac{1}{2} \int_{|t| \geq M} \frac{|v(it)|^{2p_1} (1+t^2)^{p_1\varepsilon}}{|t|} dt \leq \\ &\frac{1}{2} \left( \int_{|t| \geq M} |v(it)|^{2p_1\alpha} dt \right)^{\frac{1}{\alpha}} \left( \int_{|t| \geq M} \frac{(1+t^2)^{\beta p_1\varepsilon}}{|t|^\beta} dt \right)^{\frac{1}{\beta}} \leq \\ &\frac{1}{2} \left( \int_{|t| \geq M} |v(it)|^p dt \right)^{\frac{1}{\alpha}} \left( \int_{|t| \geq M} \frac{(1+\frac{1}{t^2})^{\beta p_1\varepsilon}}{|t|^{\beta-2\beta p_1\varepsilon}} dt \right)^{\frac{1}{\beta}} < \infty \end{aligned}$$

if  $\beta - 2\beta p_1 \varepsilon > 1$  which is equivalent to  $\varepsilon < \frac{1}{p}$ . Of course, above we made use of Hölder's inequality and the fact that  $v \in H^p(\mathbb{P})$ . So all there is to prove now in order to show that  $p_1$  and  $\varepsilon$  satisfy condition (i)' is that the following sequence is bounded.

$$\int_{-M}^M \frac{|v(it)|^{2p_1} 3^n (1+t^2)^{p_1 \varepsilon}}{3^{2n} + t^2} dt, \quad n \geq 1$$

There is no problem to see that there is some  $c > 0$  such that

$$\frac{|v(it)|^{2p_1} 3^n (1+t^2)^{p_1 \varepsilon}}{3^{2n} + t^2} \leq c |v(it)|^{2p_1} \quad \text{if } |t| \leq M, n \geq 1$$

and

$$\int_{-M}^M |v(it)|^p dt < \infty \quad \text{implies} \quad \int_{-M}^M |v(it)|^{2p_1} dt < \infty$$

because  $2p_1 < p$ . □

## 6. Final Comments

The following fact, probably known to many mathematicians is worth mentioning here.

**Proposition 6.1** *If  $f \in H^2$  and  $u, F$  are the inner, respectively the outer part of  $f$  then  $f$  is an eigenfunction of  $C_\phi$  if and only if both  $u$  and  $F$  are eigenfunctions of  $C_\phi$ .*

*Proof.* If  $\varphi$  is an inner function, we denote its radial limit also by  $\varphi$ . This function naturally induces a measure  $m\varphi^{-1}$  on  $\mathbb{T}$  by

$$(15) \quad m\varphi^{-1}(E) = m(\varphi^{-1}(E))$$

where  $m$  is the normalized Lebesgue measure on  $\mathbb{T}$ . It is proved in [10] that this measure is absolutely continuous with respect to  $m$ . Consequently, if  $\varphi = \phi$  and  $E$  is a Borel subset of  $\mathbb{T}$  such that  $m(E) = 0$ , then  $m(\phi(E)) = 0$ . Suppose now  $g$  is any  $H^1$ -function such that  $|g(e^{i\theta})| = |F \circ \phi(e^{i\theta})|$  a.e. on  $\mathbb{T}$ , then  $|g \circ \phi^{-1}(e^{i\theta})| = |F(e^{i\theta})|$  a.e. on  $\mathbb{T}$ , and since  $h \rightarrow h \circ \phi^{-1}$  is a bounded operator on  $H^1$  ([10]),  $g \circ \phi^{-1} \in H^1$ . The fact that  $F$  is outer implies ([7], pag. 62),  $|g \circ \phi^{-1}(z)| \leq |F(z)|$  for each  $z \in \mathbb{D}$ , so that  $|g(z)| \leq |F \circ \phi(z)|$  for each  $z \in \mathbb{D}$ . This means  $F \circ \phi$  is an outer function, ([7], pag. 62).  $u \circ \phi$  is obviously inner. So if  $f \circ \phi = \lambda f$ , then  $u(\lambda F) = (u \circ \phi)(F \circ \phi)$ , and the unicity of the decomposition of an  $H^2$ -function in the product of its inner and outer factors implies that both  $u$  and  $F$  must be eigenfunctions of  $C_\phi$ . The converse of this implication is trivial. □

To complete the characterization of the eigenfunctions of  $C_\phi$  one should now answer the following

**Question** *Which outer functions are eigenfunctions of  $C_\phi$  ?*

Schroeder's equation for our automorphism  $\phi$ , that is the functional equation  $u \circ \phi = \lambda u$  was solved in [3], Proposition 4.4. The solution provided there doesn't

tell us though which of those functions are  $H^2$  functions i.e. eigenfunctions of  $C_\phi$ , which are inner or outer. Nevertheless one can use that characterization of the solutions of Schroeder's equation in order to realize there are lots of outer eigenfunctions of  $C_\phi$  and they can have a rather general form, as we can see in the following

**Example 6.2** *For each function  $u$  analytic and bounded on the annulus*

$$A = \{z \in \mathbb{C} : e^{-\frac{\pi^2}{\log 3}} < |z| < e^{\frac{\pi^2}{\log 3}}\}$$

*there is a constant  $c \in \mathbb{C}$ , such that*

$$v(z) = \exp\left\{\frac{\alpha}{\log 3} \log\left(\frac{1+z}{1-z}\right)\right\} \left\{u\left(\exp\left\{\frac{2\pi i}{\log 3} \log\left(\frac{1+z}{1-z}\right)\right\}\right) + c\right\}, \quad z \in \mathbb{D}$$

*is an outer eigenfunction of  $C_\phi$  associated to the eigenvalue  $\lambda = e^\alpha$ , for each  $\alpha$ ,  $-\frac{1}{2}\log 3 < \operatorname{Re} \alpha < \frac{1}{2}\log 3$ , where  $\log$  denotes the principal branch of the logarithm function acting on  $\mathbb{P}$ .*

*Proof.* Since  $\frac{1+\phi(z)}{1-\phi(z)} = 3\frac{1+z}{1-z}$  and  $c \circ \phi = c$ , it is easy to verify that  $C_\phi v = \lambda v$ . It is equally easy to verify that the function  $\gamma(z) = \exp\left\{\frac{\alpha}{\log 3} \log\left(\frac{1+z}{1-z}\right)\right\}$  and its reciprocal  $\frac{1}{\gamma(z)}$  are in  $H^2$  (see [10], proof of Theorem 6 for the details). Hence  $\gamma$  is an outer  $H^2$  function. Choose  $c$  such that the function  $w(z) = u\left(\exp\left\{\frac{2\pi i}{\log 3} \log\left(\frac{1+z}{1-z}\right)\right\}\right) + c$  be bounded below, which is possible since  $u$  is a bounded function. So  $w$  is an analytic, bounded function on  $\mathbb{D}$  and so is its reciprocal  $\frac{1}{w}$ . Hence  $w$  is a bounded outer function. The product of a bounded outer function and an  $H^2$  outer function is an  $H^2$  outer function.  $\square$

**REMARK 4.** The spectrum of  $C_\phi$  is the closed annulus  $A = \{z \in \mathbb{C} : 3^{-\frac{1}{2}} \leq |z| \leq 3^{\frac{1}{2}}\}$ , ([10], Theorem 6). This author observed in [9] that the point spectrum is the interior of this annulus. The outer eigenfunctions exhibited in Example 6.2 correspond to each eigenvalue in the point spectrum.

## References

1. H. Bercovici, *Operator Theory and Arithmetic in  $H^\infty$* , Mathematical Surveys and Monographs, vol. **26**, Amer. Math. Soc., Providence, Rhode Island, 1988.
2. V. Chkliar, *Eigenfunctions of the Hyperbolic Composition Operator*, preprint.
3. C. C. Cowen, *Iteration and the Solution of Functional Equations for Functions Analytic in the Unit Disk*, Trans. Amer. Math. Soc. **265** (1981), 69–95.
4. C. C. Cowen and B. D. MacCluer, *Composition Operators on Spaces of Analytic Functions*, CRC Press Boca Raton, New York, London, Tokyo, 1995.
5. P. L. Duren, *Theory of  $H^p$  Spaces*, Academic Press, New-York, 1970.
6. J. B. Garnett, *Bounded Analytic Functions*, Academic Press, New York, 1981.
7. K. Hoffman, *Banach Spaces of Analytic Functions*, Englewood Cliffs, NJ, 1962.
8. V. Matache, *On the Minimal Invariant Subspaces of the Hyperbolic Composition Operator*, Proc. Amer. Math. Soc. **119** (1993), 837–841.
9. V. Matache, *Notes on Hypercyclic Operators*, Acta Sci.Math.(Szeged) **58** (1993), 397–406.
10. E. A. Nordgren, *Composition Operators*, Canad. J. Math. **20** (1968), 442–449.



11. E. A. Nordgren, *Composition Operators in Hilbert Spaces*, Hilbert Space Operators, Lecture Notes in Mathematics, vol. **693**, Springer-Verlag, New York, Heidelberg, Berlin, 1978, pp. 37–63.
12. E. A. Nordgren, P. Rosenthal, and F.S. Wintrobe, *Invertible Composition Operators on  $H^p$* , J. Funct. Anal. **73** (1987), 324–344.
13. W. Rudin, *Real and Complex Analysis*, McGraw-Hill, New York, 1966.
14. J. H. Shapiro, *Composition Operators and Classical Function Theory*, Springer-Verlag, New York, Heidelberg, Berlin, 1993.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF KANSAS, LAWRENCE, KS 66045-2142  
*E-mail address:* matache@math.ukans.edu